## LQL9YW-1 05/23/2023 KMS (L)cr 2023-2242 SUB SB322 JUDICIARY SUBSTITUTE TO SB322 OFFERED BY SENATOR GIVHAN



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4 SYNOPSIS:

This bill would provide further for the appointment and service of notaries public and the fee collected by the judge of probate for a commission.

This bill would expand the grounds under which a judge of probate may accept or deny an application for a notary commission and would require an applicant, before being commissioned, to complete a training program.

This bill would increase the amount of the bond required of a notary public.

This bill would provide further for the acknowledgment of signatures and the fee collected for notarial acts performed.

This bill also specifies what acts committed by a notary or other individual constitute a crime.

Section 111.05 of the Constitution of Alabama of 2022, prohibits a general law whose purpose or effect would be to require a new or increased expenditure of local funds from becoming effective with regard to a local governmental entity without enactment by a 2/3 vote unless: it comes within one of a number of specified exceptions; it is approved by the affected entity; or the Legislature appropriates funds, or provides a local source of revenue, to the entity for



the purpose.

The purpose or effect of this bill would be to require a new or increased expenditure of local funds within the meaning of the amendment. However, the bill does not require approval of a local governmental entity or enactment by a 2/3 vote to become effective because it comes within one of the specified exceptions contained in the amendment.

39 A BILL

40 TO BE ENTITLED

41 AN ACT

Relating to the employment of notaries public; to amend Sections 36-20-70, 36-20-71, 36-20-72, 36-20-73.1, 36-20-74, and 36-20-75, Code of Alabama 1975; to provide further for the appointment and service of notaries public; to increase the fee collected by the judge of probate for the commission of a notary; to expand the grounds under which a judge of probate may accept or deny an application for a notary commission; to require an applicant for a notary commission to complete a training program; to increase the bond required of a notary public; to provide further for the acknowledgment of signatures; to increase the fee collected for notarial acts performed; to specify the acts of a notary or other individual that constitute a crime; and in connection therewith would have as its purpose or effect the requirement of a new or



- 57 increased expenditure of local funds within the meaning of
- 58 Section 111.05 of the Constitution of Alabama of 2022.
- 59 BE IT ENACTED BY THE LEGISLATURE OF ALABAMA:
- 60 Section 1. Sections 36-20-70, 36-20-71, 36-20-72,
- 36-20-73.1, 36-20-74, and 36-20-75 of the Code of Alabama
- 62 1975, are amended to read as follows:
- **"**\$36-20-70
- (a) A competent number of notaries public for the state
- at large shall be appointed and commissioned by the judges of
- 66 probate of the several counties of the state and shall hold
- office for four years from the date of their commission.
- Notaries public shall perform all the acts and exercise all
- authority under the general laws of the State of Alabama and
- 70 shall be appointed and serve at the discretion of the judge of
- 71 probate issuing their commission or the judge's successor in
- 72 office. The jurisdiction of the notaries public shall not be
- 73 limited to the counties of their residence and shall extend to
- 74 any county of the state. The judges of probate shall collect a
- 75 fee of ten dollars (\$10) one hundred dollars (\$100) for each
- 76 notary commission issued. The judges of probate shall also
- 77 report to the Secretary of State the name, county of
- 78 residence, date of issuance, and date of expiration of the
- 79 commission of each notary public appointed and commissioned
- 80 under this subsection.
- 81 (b) All existing notaries public functioning on January
- 82 1, 2012 2023, shall continue to function pursuant to their
- 83 existing authority for the remainder of their existing
- 84 commission.



85	(c) A judge of probate may accept or deny any
86	application for notary public commission and shall deny an
87	application for notary public commission on any of the
88	following grounds:
89	(1) The applicant is not a resident of this state.
90	(2) The applicant makes the application to a judge who
91	is not the judge of probate of the county of the applicant's
92	residence.
93	(3) The applicant has been convicted of a felony or
94	<pre>crime of moral turpitude.</pre>
95	(4) The applicant is currently a party to a bankruptcy
96	<pre>proceeding.</pre>
97	(5) The applicant is under a current order adjudicating
98	him or her incapacitated.
99	(6) The applicant provides false information on the
100	application.
101	(7) The applicant is unable or unwilling to
102	successfully complete the training program required in
103	subsection (e).
104	(d) A notary public is not an insurer but is under a
105	duty to act honestly, skillfully, and with reasonable
106	diligence. A notary public shall not perform an acknowledgment
107	in any transaction where he or she has a pecuniary interest or
108	where any signatory being acknowledged by the notary is
109	related by blood or marriage within the fourth degree of
110	kinship.
111	(e) Before being commissioned, an applicant for a
112	notary public commission shall successfully complete a



113	training program prepared by the Alabama Probate Judges
114	Association and the Alabama Law Institute that tests his or
115	her knowledge of all matters relevant to the appointment,
116	authority, duties, and legal and ethical responsibilities of a
117	notary public. An attorney who is commissioned as a notary
118	public under this article is not required to complete the
119	training requirement. A notary public who is commissioned as
120	of the effective date of this act shall be required to
121	complete the training requirement upon submitting an
122	application for the renewal of his or her expired commission."
123	<b>"</b> §36-20-71
124	(a) Notaries public shall give bond with sureties,
125	obtained from an Alabama licensed producer of such bonds, to
126	be approved by the judge of probate of the county of their
127	residence, in the sum of twenty-five thousand dollars
128	$\frac{(\$25,000)}{(\$25,000)}$ fifty thousand dollars $(\$50,000)$ , payable to the
129	State of Alabama, and conditioned to faithfully discharge the
130	duties of <u>such</u> the office so long as they may continue therein
131	or discharge any of the duties thereof. Such The bond shall be
132	executed, approved, filed, and recorded in the office of the
133	judge of probate of the county of their residence, before they
134	enter on the duties of <u>such</u> the office.
135	(b) All existing notaries public functioning on January
136	1, 2012 2023, shall continue to function pursuant to their
137	existing bond for the remainder of their existing commission."
138	<b>"</b> §36-20-72
139	(a) For the authentication of his or her official acts,
140	each notary shall provide a seal of office, which shall



- 141 present, by its impression or stamp, the name, office, and the 142 state for which he or she was appointed.
- 143 (b) The form and content of any notarial act shall 144 include an oath, acknowledgment, and signature of each party to the document, or his or her mark, and the signature of the 145 146 notary public and their seal of office by either ink stamp or embossed impression." 147
- 148 "\$36-20-73.1

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- (a) Except as otherwise provided in this section, any signature acknowledged by a notary public shall be executed within this state and shall be executed in the physical presence of the notary public at the time of the 153 acknowledgment, only after the notary public has positively 154 identified the prospective signatory via personal knowledge of the affiant or the examination of photo identification issued 156 by a governmental entity or agency.
- 157 (b) For the purposes of this section, the following 158 terms shall have the following meanings:
- 159 (1) ORIGINAL SIGNATURE. A signature signed directly 160 onto a document in wet ink by an individual who is named on 161 the document.
- 162 (2) SIGNATORY. The individual who is named on the 163 document and is to sign the document.
- 164 (b) (c) Unless otherwise provided by law, the powers and functions of a notary public require his or her original 165 166 signature.
- (c) (d) For purposes of this article, and subject to 167 168 subsections (d) to (f) (e) to (g), inclusive, an individual



- may personally appear before an acknowledging notary by either of the following:
- 171 (1) Physically appearing before the notary as provided
  172 in subsection (a).

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- (2) Appearing through the use of two-way audio-video communication technology that allows a notary public and a remotely located signatory to communicate with each other simultaneously by sight and sound, provided that the notary public is physically located in this state and the two-way audio-video communication is recorded and maintained for a period of seven years by the notary public.
- 180 (d) (e) If appearing through the use of two-way

  181 audio-video communication, the identity of the signatory shall

  182 be verified by the notary public using either of the following

  183 methods:
- 184 (1) The personal knowledge of the notary public of the 185 identity of the signatory.
- 186 (2)a. The presentation of two valid forms of government 187 issued identification, one of which shall include the face and 188 signature of the signatory; and
- b. A process by which the notary public verifies the identity of the signatory through a review of public or private data sources.
- 192 (e) (f) The two-way audio-video communication recording
  193 shall contain all of the following:
  - (1) The date and time of the remote notarial act.
- 195 (2) A description of the documents to which the remote
  196 notarial act relates.



- 197 (3) An attestation by the notary public of being physically located in this state.
- 199 (4) A description of how the identification of the 200 signatory was verified.
- 201 (5) A clear image of any government issued 202 identification, if applicable.
- 203 (6) A clear image of the act of signing observed by the 204 notary public.

205 (f) (g) The official date and time of the notarization
206 is the date and time the notary public witnessed the
207 signature, including the date and time the signature was
208 witnessed via two-way audio-video communication technology.
209 All documents used during the two-way audio-video

communication, shall be provided to the notary for his or her authentication and original signature.

(g) (h) Any action taken before July 1, 2021, allowing for the remote notarization of signatures under the Emergency Management Act of 1955, Article 1 of Chapter 9 of Title 31, is ratified and confirmed.

(h)(i) Remote notarization may not be used to notarize an absentee ballot application or an absentee ballot affidavit, or for any purpose related to voting.

219 <u>(i) A notary who intentionally or fraudulently violates</u> 220 <u>this section shall be guilty of a Class C misdemeanor."</u>

221 "\$36-20-74

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Notaries public are entitled to the sum of five dollar

(\$5) for carrying out any of the enumerated powers in Section

36-20-73. A notary public commissioned pursuant to this article



225 is permitted a reasonable fee, not to exceed ten dollars 226 (\$10), for each notarial act performed. No fee may be charged 227 by a state, county, or municipal employee for a notarial act 228 performed during, and as a part of, his or her public service, 229 unless otherwise provided by law." "\$36-20-75 230 Any person who, having been a notary, willfully 231 232 or assumes the authority to perform a notarial act after his or her commission expires, with knowledge that his 233 or her commission has expired, or any person who without a 234 notary's commission assumes the authority and performs a 235 notarial act shall be quilty of a Class C misdemeanor. 236 237 (a) The commissioning judge of probate, or his or her successor in office, may issue a warning to a notary or 238 239 restrict, suspend, or revoke a notarial commission for a violation of this article and on any ground for which an 240 241 application for a commission may be denied under this article. A period of restriction, suspension, or revocation does not 242 243 extend the expiration date of a commission. 244 (b) Except as otherwise permitted by law, an individual 245 who commits any of the following acts is guilty of a Class C 246 misdemeanor: 247 (1) Holding one's self out to the public as a notary 248 without being commissioned. 249 (2) Performing a notarial act with an expired, 250 suspended, or restricted commission. 251 (3) Performing a notarial act before taking an oath of

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office.



(4) Charging a fee for a notarial act in excess of	of the
maximum fee allowed by this article.	
(5) Taking an acknowledgment or administering an	oath
or affirmation without the principal appearing in person	<u>n</u>
before the notary or following the procedures for remote	<u>e</u>
notarization set out in this article.	
(6) Taking an acknowledgment or administering an	oath
or affirmation without personal knowledge or satisfactor	ry
evidence of the identity of the principal.	
(7) Taking a verification or proof without person	nal
knowledge or satisfactory evidence of the identity of the	<u>ne</u>
subscribing witness.	
(c) A notary is guilty of a Class D felony if he	or she
does any of the following with the intent to commit fram	ud or
to intentionally assist in the commission of a frauduler	nt act:
(1) Takes an acknowledgment, or a verification or	<u> </u>
proof, or administers an oath or affirmation he or she l	knows
or reasonably believes to be false.	
(2) Takes an acknowledgment or administers an oat	th or
affirmation without the principal appearing in person be	efore
the notary, or without following the procedures for remo	<u>ote</u>
notarization set out in this article.	
(3) Takes a verification or proof without the	
subscribing witness appearing in person before the notar	ry, or
without following the procedures for remote notarization	n set
out in this article.	
(A) Performs notarial acts in this state with the	2

knowledge that he or she is not properly commissioned under



this chapter.

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- 282 (d) For purposes of enforcing this chapter, all of the following are applicable:
- 284 (1) Any party to a transaction requiring a notarial 285 certificate for verification and any attorney licensed in this state who is involved in such a transaction in any capacity, 286 287 may execute an affidavit and file it with either the Secretary 288 of State or the judge of probate who issued the commission to 289 the notary public, setting forth the actions which the affiant alleges are violations. Upon receipt of an affidavit, the 290 291 Secretary of State or judge of probate shall forward the 292 affidavit to the Alabama State Law Enforcement Agency. Upon 293 receipt of the affidavit, the Alabama State Law Enforcement Agency shall initiate and carry out, on their own or in 294 295 coordination with local law enforcement agencies, investigations of violations. Founded investigations shall be 296 referred to the appropriate district attorney for prosecution. 297
  - (2) Resignation or expiration of a notarial commission does not terminate or preclude an investigation into the conduct of a notary by the Secretary of State, a judge of probate, or a law enforcement agency who may pursue the investigation to a conclusion, whereupon it may be a matter of public record whether or not the finding would have been grounds for disciplinary action.
  - (3) The commissioning judge of probate may order injunctive relief against any individual who violates this chapter including, but not limited to, ordering the surrender and destruction of a notary commission and a notary seal.



309 (e) Any individual who knowingly solicits, coerces, or 310 in any material way influences a notary to commit official 311 misconduct is guilty as an aider and abettor and is subject to 312 the same level of punishment as the notary." 313 Section 2. Although this bill would have as its purpose 314 or effect the requirement of a new or increased expenditure of 315 local funds, the bill is excluded from further requirements 316 and application under Section 111.05 of the Constitution of 317 Alabama of 2022, because the bill defines a new crime or amends the definition of an existing crime. 318 319 Section 3. This act shall become effective on the first 320 day of the third month following its passage and approval by

the Governor, or its otherwise becoming law.