

- 1 SB207
- 2 YCQ8626-1
- 3 By Senators Hatcher, Jones, Smitherman, Butler, Allen, Givhan,
- 4 Melson, Sessions, Carnley, Bell, Kelley, Shelnutt, Kitchens,
- 5 Stutts, Elliott, Roberts, Waggoner, Orr, Stewart, Coleman,
- 6 Coleman-Madison, Beasley
- 7 RFD: Veterans and Military Affairs
- 8 First Read: 06-Mar-24



1	
2	
3	
4	SYNOPSIS:
5	This bill would allow the Alabama Board of
6	Examiners for Dietetics/Nutrition Practice to enter
7	into the Dietitian Licensure Compact as a means of
8	providing uniformity in licensing requirements and
9	interstate practice throughout party states.
10	
11	
12	A BILL
13	TO BE ENTITLED
14	AN ACT
15	
16	Relating to the Alabama Board of Examiners for
17	Dietetics/Nutrition Practice; to enter into the Dietician
18	Licensure Compact by adopting Chapter 34B of Title 34, Code of
19	Alabama 1975.
20	BE IT ENACTED BY THE LEGISLATURE OF ALABAMA:
21	Section 1. Chapter 34B, commencing with Section
22	34-34B-1, is added to Title 34, Code of Alabama 1975, to read
23	as follows:
24	CHAPTER 34B. DIETITIAN LICENSURE COMPACT
25	§34-34B-1. Purpose.
26	(a) The purpose of this compact is to facilitate
27	interstate practice of dietetics with the goal of improving
28	public access to dietetics services. This compact preserves



- 29 the regulatory authority of states to protect public health
- 30 and safety through the current system of state licensure,
- 31 while also providing for licensure portability through a
- 32 compact privilege granted to qualifying professionals.
- 33 (b) This compact is designed to achieve the following
- 34 objectives:
- 35 (1) Increase public access to dietetics services.
- 36 (2) Provide opportunities for interstate practice by
- 37 licensed dietitians who meet uniform requirements.
- 38 (3) Eliminate the necessity for licenses in multiple
- 39 states.
- 40 (4) Reduce the administrative burden on member states
- 41 and licensees.
- 42 (5) Enhance the states' ability to protect the public's
- 43 health and safety.
- 44 (6) Encourage the cooperation of member states in
- 45 regulating multistate practice of licensed dietitians.
- 46 (7) Support relocating active military members and
- 47 their spouses.
- 48 (8) Enhance the exchange of licensure, investigative,
- 49 and disciplinary information among member states.
- 50 (9) Vest all member states with the authority to hold a
- 51 licensed dietitian accountable for meeting all state practice
- 52 laws in the state in which the patient is located at the time
- 53 care is rendered.
- \$34-34B-2. Definitions.
- As used in this compact, the following terms have the
- 56 following meanings:



- 57 (1) ACEND. The Accreditation Council for Education in 58 Nutrition and Dietetics or its successor organization.
- (2) ACTIVE MILITARY MEMBER. Any individual with
 full-time duty status in the active armed forces of the United
 States, including members of the National Guard and Reserve.
- 62 (3) ADVERSE ACTION. Any administrative, civil, 63 equitable, or criminal action permitted by a state's laws 64 which is imposed by a licensing authority or other authority 65 against a licensee, including actions against an individual's 66 license or compact privilege such as revocation, suspension, 67 probation, monitoring of the licensee, limitation on the licensee's practice, or any other encumbrance on a licensure 68 affecting a licensee's authorization to practice, including 69 issuance of a cease and desist action. 70
- 71 (4) ALTERNATIVE PROGRAM. A nondisciplinary monitoring
 72 or practice remediation process approved by a licensing
 73 authority.
- 74 (5) CDR. The Commission on Dietetic Registration or its 75 successor organization.
 - (6) CHARTER MEMBER STATE. Any member state which enacted this compact by law before the effective date specified in Section 34-34B-12.

76

77

78

79

80

81

82

- (7) COMPACT COMMISSION. The governmental agency whose membership consists of all states that have enacted this compact, which is known as the Dietitian Licensure Compact Commission, as described in Section 34-34B-8, and which shall operate as an instrumentality of the member states.
- 84 (8) COMPACT PRIVILEGE. A legal authorization, which is



- equivalent to a license, permitting the practice of dietetics in a remote state.
- (9) CONTINUING EDUCATION. A requirement, as a condition of license renewal, to provide evidence of participation in, and completion of, educational and professional activities relevant to practice or area of work.
- 91 (10) CURRENT SIGNIFICANT INVESTIGATIVE INFORMATION.
- a. Investigative information that a licensing
 authority, after a preliminary inquiry that includes
 notification and an opportunity for the subject licensee to
 respond, if required by state law, has reason to believe is
 not groundless and, if proved true, would indicate more than a
 minor infraction.
- 98 b. Investigative information that indicates that the 99 subject licensee represents an immediate threat to public 100 health and safety regardless of whether he or she has been 101 notified and had an opportunity to respond.
- 102 (11) DATA SYSTEM. A repository of information about
 103 licensees, including, but not limited to, continuing
 104 education, examination, licensure, investigative, compact
 105 privilege, and adverse action information.
- 106 (12) ENCUMBERED LICENSE. A license in which an adverse action restricts a licensee's ability to practice dietetics.
- 108 (13) ENCUMBRANCE. A revocation or suspension of, or any
 109 limitation on a licensee's full and unrestricted practice of
 110 dietetics by a licensing authority.
- 111 (14) EXECUTIVE COMMITTEE. A group of delegates elected 112 or appointed to act on behalf of, and within the powers



- granted to them by, this compact and the compact commission.
- 114 (15) HOME STATE. The member state that is the
- licensee's primary state of residence or that has been
- designated pursuant to Section 34-34B-6.
- 117 (16) INVESTIGATIVE INFORMATION. Information, records,
- and documents received or generated by a licensing authority
- 119 pursuant to an investigation.
- 120 (17) JURISPRUDENCE REQUIREMENT. An assessment of an
- individual's knowledge of the state laws and rules governing
- 122 the practice of dietetics in such state.
- 123 (18) LICENSE. An authorization from a member state to
- 124 do one of the following:
- 125 a. Engage in the practice of dietetics, including
- 126 medical nutrition therapy.
- b. Use the title "dietitian," "licensed dietitian,"
- "licensed dietitian nutritionist," "certified dietitian," or
- 129 other title describing a substantially similar practitioner as
- 130 the compact commission may further define by rule.
- 131 (19) LICENSEE or LICENSED DIETITIAN. An individual who
- 132 currently holds a license and who meets all of the
- requirements outlined in Section 34-34B-4.
- 134 (20) LICENSING AUTHORITY. The board or agency of a
- 135 state, or equivalent, that is responsible for the licensing
- and regulation of the practice of dietetics.
- 137 (21) MEMBER STATE. A state that has enacted the
- 138 compact.
- 139 (22) PRACTICE OF DIETETICS. The synthesis and
- 140 application of dietetics, primarily for the provision of



- 141 nutrition care services, including medical nutrition therapy,
- in person or via telehealth, to prevent, manage, or treat
- 143 diseases or medical conditions and promote wellness.
- 144 (23) REGISTERED DIETITIAN. A person who meets all of
- 145 the following requirements:
- a. Has completed applicable education, experience,
- examination, and recertification requirements approved by CDR.
- b. Is credentialed by CDR as a registered dietitian or
- 149 a registered dietitian nutritionist.
- 150 c. Is legally authorized to use the title registered
- 151 dietitian or registered dietitian nutritionist and the
- 152 corresponding abbreviations "RD" or "RDN."
- 153 (24) REMOTE STATE. A member state other than the home
- 154 state, where a licensee is exercising or seeking to exercise a
- 155 compact privilege.
- 156 (25) RULE. A regulation adopted by the compact
- 157 commission that has the force of law.
- 158 (26) SINGLE-STATE LICENSE. A license issued by a member
- 159 state within the issuing state. The term does not include a
- 160 compact privilege in any other member state.
- 161 (27) STATE. Any state, commonwealth, district, or
- 162 territory of the United States of America.
- 163 (28) UNENCUMBERED LICENSE. A license that authorizes a
- licensee to engage in the full and unrestricted practice of
- 165 dietetics.
- 166 §34-34B-3. State Participation in the Compact.
- 167 (a) To participate in the compact, a state must
- 168 currently license and regulate the practice of dietetics and



have a mechanism in place for receiving and investigating complaints about licensees.

171

174

187

188

189

190

191

192

rules.

- (b) A member state shall do all of the following:
- 172 (1) Participate fully in the compact commission's data 173 system, including using the unique identifier as defined in
- 175 (2) Notify the compact commission, in compliance with
 176 the terms of the compact and rules, of any adverse action or
 177 the availability of current significant investigative
 178 information regarding a licensee.
- 179 (3) Implement or utilize procedures for considering the criminal history record information of applicants for an 180 initial compact privilege. These procedures shall include the 181 182 submission of fingerprints or other biometric-based 183 information by applicants for the purpose of obtaining an applicant's criminal history record information from the 184 185 Federal Bureau of Investigation and the agency responsible for 186 retaining that state's criminal records.
 - a. A member state must fully implement a criminal history record information requirement, within a timeframe established by rule, which includes receiving the results of the Federal Bureau of Investigation record search and shall use those results in determining compact privilege eligibility.
- b. Communication between a member state and the compact commission or among member states regarding the verification of eligibility for a compact privilege shall not include any information received from the Federal Bureau of Investigation



- relating to a federal criminal history record information

 check performed by a member state.
- 199 (4) Comply with and enforce the rules of the compact 200 commission.
- 201 (5) Require an applicant for a compact privilege to
 202 obtain or retain a license in the licensee's home state and
 203 meet the home state's qualifications for licensure or renewal
 204 of licensure, as well as all other applicable state laws.
- 205 (6) Recognize a compact privilege granted to a licensee 206 who meets all of the requirements outlined in Section 34-34B-4 207 in accordance with the terms of the compact and rules.
- 208 (c) Member states may set and collect a fee for 209 granting a compact privilege.
- 210 (d) Individuals not residing in a member state shall
 211 continue to be able to apply for a member state's single state
 212 license as provided under the laws of each member state.
- However, the single state license granted to these individuals shall not be recognized as granting a compact privilege to engage in the practice of dietetics in any other member state.
- 216 (e) Nothing in this compact shall affect the
 217 requirements established by a member state for the issuance of
 218 a single state license.
- 219 (f) At no point shall the compact commission have the 220 power to define the requirements for the issuance of a single 221 state license to practice dietetics. The member states shall 222 retain sole jurisdiction over the provision of these 223 requirements.
- \$34-34B-4. Compact Privilege.



- 225 (a) To exercise the compact privilege under the terms 226 and provisions of the compact, the licensee shall do all of 227 the following:
 - (1) The licensee must do one of the following:
- a. Hold a valid current registration that gives the applicant the right to use the term "registered dietitian."
 - b. Complete all of the following:
- 1. An education program which is either:

228

231

233

234

235

236

237

238

239

240

241

242

243

244

245

- (i) A master's degree or doctoral degree that is programatically accredited by ACEND or any other dietetics accrediting agency recognized by the United States Department of Education, which the compact commission may determine by rule, and from a college or university accredited at the time of graduation by the appropriate regional accrediting agency recognized by the Council on Higher Education Accreditation and the United States Department of Education.
 - (ii) An academic degree from a college or university in a foreign country equivalent to the degree described in Item (i) that is programmatically accredited by ACEND or any other dietetics accrediting agency recognized by the United States Department of Education, which the compact commission may determine by rule.
- 247 2. A planned, documented, and supervised practice
 248 experience in dietetics that is programmatically accredited by
 249 ACEND or any other dietetics accrediting agency recognized by
 250 the United States Department of Education which the compact
 251 commission may determine by rule and which involves at least
 252 1000 hours of practice experience under the supervision of a



253 registered dietitian or a licensed dietitian.

254

255

256

257

258

259

260

261

262

263

264

270

271

272

- 3. Successful completion of either the Registration Examination for Dietitians administered by CDR or a national credentialing examination for dietitians approved by the compact commission by rule; provided, the completion must be no more than five years prior to the date of the licensee's application for initial licensure and accompanied by a period of continuous licensure thereafter, all of which may be further governed by the rules of the compact commission.
 - (2) Hold an unencumbered license in the home state.
- (3) Notify the compact commission that the licensee is seeking a compact privilege within one or more remote states.
- 265 (4) Pay any applicable fees, including any state fee, 266 for the compact privilege.
- 267 (5) Meet any jurisprudence requirements established by
 268 the remote state in which the licensee is seeking a compact
 269 privilege.
 - (6) Report to the compact commission any adverse action, encumbrance, or restriction on a license taken by any non-member state within 30 days from the date the action is taken.
- (b) The compact privilege is valid until the expiration
 date of the home state license. To maintain a compact
 privilege, renewal of the compact privilege shall be congruent
 with the renewal of the home state license as the compact
 commission may define by rule. The licensee must comply with
 the requirements of subsection (a) to maintain the compact
 privilege in the remote state.



- 281 (c) A licensee exercising a compact privilege shall
 282 adhere to the laws and rules of the remote state. Licensees
 283 shall be responsible for educating themselves on, and
 284 complying with, any and all state laws relating to the
 285 practice of dietetics in the remote state.
- in this compact or state law, a licensee exercising a compact privilege shall not be required to complete continuing education requirements required by a remote state. A licensee exercising a compact privilege is only required to meet any continuing education requirements as required by the home state.
- 293 \$34-34B-5. Obtaining a New Home State License Based on 294 a Compact Privilege.
- 295 (a) A licensee may hold a home state license, which 296 allows for a compact privilege in other member states, in only 297 one member state at a time.
- 298 (b) If a licensee changes his or her home state by moving between two member states:
- 300 (1) The licensee shall file an application for
 301 obtaining a new home state license based on a compact
 302 privilege, pay all applicable fees, and notify the current and
 303 new home state in accordance with the rules of the compact
 304 commission.
- 305 (2) Upon receipt of an application for obtaining a new 306 home state license by virtue of a compact privilege, the new 307 home state shall verify that the licensee meets the criteria 308 in Section 34-34B-4 via the data system and require that the



309 licensee complete all of the following:

324

325

326

327

328

- 310 a. Federal Bureau of Investigation fingerprint-based 311 criminal history record information check.
- 312 b. Any other criminal history record information 313 required by the new home state.
- 314 c. Any jurisprudence requirements of the new home 315 state.
- 316 (3) The former home state shall convert the former home 317 state license into a compact privilege once the new home state 318 has activated the new home state license in accordance with 319 applicable rules adopted by the compact commission.
- 320 (4) Notwithstanding any other provision of this 321 compact, if the licensee cannot meet the criteria in Section 322 34-34B-4, the new home state may apply its requirements for 323 issuing a new single-state license.
 - (5) The licensee shall pay all applicable fees to the new home state in order to be issued a new home state license.
 - (c) If a licensee changes his or her state of residence by moving from a member state to a non-member state, or from a non-member state to a member state, the state criteria shall apply for issuance of a single state license in the new state.
- 330 (d) Nothing in this compact shall interfere with a
 331 licensee's ability to hold a single-state license in multiple
 332 states; however, for the purposes of this compact, a licensee
 333 shall have only one home state license.
- 334 (e) Nothing in this compact shall affect the
 335 requirements established by a member state for the issuance of
 336 a single-state license.



337 §34-34B-6. Active Military Members or Their Spouses.

An active military member, or his or her spouse, shall designate a home state where the individual has a current license in good standing. The individual may retain the home state designation during the period the service member is on active duty.

\$34-34B-7. Adverse Actions.

338

339

340

341

342

362

363

- 344 (a) In addition to the other powers conferred by state 345 law, a remote state shall have the authority, in accordance 346 with existing state due process law, to do both of the 347 following:
- 348 (1) Take adverse action against a licensee's compact 349 privilege within that member state.
- 350 (2) Issue subpoenas for both hearings and 351 investigations that require the attendance and testimony of 352 witnesses, as well as the production of evidence. Subpoenas 353 issued by a licensing authority in a member state for the 354 attendance and testimony of witnesses or the production of 355 evidence from another member state shall be enforced in the 356 latter state by any court of competent jurisdiction, according 357 to the practice and procedure applicable to subpoenas issued 358 in proceedings pending before that court. The issuing 359 authority shall pay any witness fees, travel expenses, 360 mileage, and other fees required by the service statutes of 361 the state in which the witnesses or evidence are located.
 - (b) Only the home state shall have the power to take adverse action against a licensee's home state license.
 - (c) For purposes of taking adverse action, the home



state shall give the same priority and effect to reported

conduct received from a member state as it would if the

conduct had occurred within the home state. In so doing, the

home state shall apply its own state laws to determine

appropriate action.

- (d) The home state shall complete any pending investigations of a licensee who changes home states during the course of the investigations. The home state shall also have authority to take appropriate action and shall promptly report the conclusions of the investigations to the administrator of the data system. The administrator of the data system shall promptly notify the new home state of any adverse actions.
- 378 (e) A member state, if otherwise permitted by state
 379 law, may recover from the affected licensee the costs of
 380 investigations and dispositions of cases resulting from any
 381 adverse action taken against that licensee.
- 382 (f) A member state may take adverse action based on the 383 factual findings of another remote state, provided that the 384 member state follows its own procedures for taking the adverse 385 action.
 - (g) Joint investigations.

370

371

372

373

374

375

376

377

- 387 (1) In addition to the authority granted to a member 388 state by its respective state law, any member state may 389 participate with other member states in joint investigations 390 of licensees.
- 391 (2) Member states shall share any investigative,
 392 litigation, or compliance materials in furtherance of any



393 joint investigation initiated under the compact.

- (h) If adverse action is taken by the home state against a licensee's home state license resulting in an encumbrance on the home state license, the licensee's compact privilege in all other member states shall be revoked until all encumbrances have been removed from the home state license. All home state disciplinary orders that impose adverse action against a licensee shall include a statement that the licensee's compact privileges are revoked in all member states during the pendency of the order.
- (i) Once an encumbered license in the home state is restored to an unencumbered license, as certified by the home state's licensing authority, the licensee must meet the requirements of Section 34-34B-4(a) and follow the administrative requirements to reapply to obtain a compact privilege in any remote state.
- (j) If a member state takes adverse action, it shall promptly notify the administrator of the data system. The administrator of the data system shall promptly notify the other member states of any adverse actions.
- 413 (k) Nothing in this compact shall override a member 414 state's decision that participation in an alternative program 415 may be used in lieu of adverse action.
- \$34-34B-8. Establishment of the Dietitian Licensure
 Compact Commission.
- 418 (a) The compact member states hereby create and
 419 establish a joint governmental agency whose membership
 420 consists of all member states that have enacted the compact



- 421 known as the Dietitian Licensure Compact Commission. The
- 422 compact commission is an instrumentality of the compact states
- 423 acting jointly and not an instrumentality of any one state.
- The compact commission shall come into existence on or after
- 425 the effective date of the compact as set forth in Section
- 426 34-34B-12.
- (b) Membership, voting, and meetings.
- 428 (1) Each member state shall have and be limited to one
- delegate selected by that member state's licensing authority.
- 430 (2) The delegate shall be the primary administrator of
- 431 the licensing authority or his or her designee.
- 432 (3) The compact commission shall by rule or bylaw
- 433 establish a term of office for delegates and may by rule or
- 434 bylaw establish term limits.
- 435 (4) The compact commission may recommend removal or
- 436 suspension of any delegate from office.
- 437 (5) A member state's licensing authority shall fill any
- 438 vacancy of its delegate occurring on the compact commission
- 439 within 60 days of the vacancy.
- 440 (6) Each delegate shall be entitled to one vote on all
- 441 matters before the compact commission requiring a vote by the
- 442 delegates.
- 443 (7) Delegates shall meet and vote by such means as set
- 444 forth in the bylaws. The bylaws may provide for delegates to
- 445 meet and vote in-person or by telecommunication, video
- 446 conference, or other means of communication.
- 447 (8) The compact commission shall meet at least once
- during each calendar year. Additional meetings may be held as



- set forth in the bylaws. The compact commission may meet in
- 450 person or by telecommunication, video conference, or other
- 451 means of communication.
- 452 (c) The compact commission shall have all of the
- 453 following powers:
- 454 (1) Establish the fiscal year of the compact
- 455 commission.
- 456 (2) Establish code of conduct and conflict of interest
- 457 policies.
- 458 (3) Establish and amend rules and bylaws.
- 459 (4) Maintain its financial records in accordance with
- 460 the bylaws.
- 461 (5) Meet and take action consistent with the provisions
- of this compact, the compact commission's rules, and the
- 463 bylaws.
- 464 (6) Initiate and conclude legal proceedings or actions
- in the name of the compact commission, provided that the
- 466 standing of any licensing authority to sue or be sued under
- 467 applicable law shall not be affected.
- 468 (7) Maintain and certify records and information
- 469 provided to a member state as the authenticated business
- 470 records of the compact commission, and designate an agent to
- do so on behalf of the compact commission.
- 472 (8) Purchase and maintain insurance and bonds.
- 473 (9) Borrow, accept, or contract for services of
- 474 personnel, including, but not limited to, employees of a
- 475 member state.
- 476 (10) Conduct an annual financial review.



- 477 (11) Hire employees, elect or appoint officers, fix
 478 compensation, define duties, grant those individuals
 479 appropriate authority to carry out the purposes of the
 480 compact, and establish the compact commission's personnel
 481 policies and programs relating to conflicts of interest,
 482 qualifications of personnel, and other related personnel
 483 matters.
- 484 (12) Assess and collect fees.
- 485 (13) Accept any and all appropriate donations, grants
 486 of money, other sources of revenue, equipment, supplies,
 487 materials, services, and gifts, and receive, utilize, and
 488 dispose of the same; provided, that at all times the compact
 489 commission shall avoid any actual or appearance of impropriety
 490 or conflict of interest.
- 491 (14) Lease, purchase, retain, own, hold, improve, or 492 use any property, real, personal, or mixed, or any undivided 493 interest in property.
- 494 (15) Sell, convey, mortgage, pledge, lease, exchange, 495 abandon, or otherwise dispose of any property real, personal, 496 or mixed.
- 497 (16) Establish a budget and make expenditures.
- 498 (17) Borrow money.

- (18) Appoint committees, including standing committees, composed of members, state regulators, state legislators or his or her representatives, consumer representatives, and other interested persons as may be designated in this compact or the bylaws.
 - (19) Provide and receive information from, and



- 505 cooperate with, law enforcement agencies.
- 506 (20) Establish and elect an executive committee, 507 including a chair and a vice chair.
- 508 (21) Determine whether a state's adopted language is
 509 materially different from the model compact language such that
 510 the state would not qualify for participation in the compact.
- 511 (22) Perform other functions as may be necessary or 512 appropriate to achieve the purposes of this compact.
- 513 (d) The Executive Committee.
- on behalf of the compact commission according to the terms of this compact. The powers, duties, and responsibilities of the executive committee shall include all of the following:
- a. Oversee the day-to-day activities of the
 administration of the compact, including enforcement and
 compliance with the provisions of the compact, its rules and
 bylaws, and other duties as deemed necessary.
- 522 b. Recommend to the compact commission changes to the 523 rules or bylaws, changes to this compact legislation, fees 524 charged to compact member states, fees charged to licensees, 525 and other fees.
- 526 c. Ensure compact administration services are 527 appropriately provided, including by contract.
- d. Prepare and recommend the budget.
- e. Maintain financial records on behalf of the compact commission.
- f. Monitor compact compliance of member states and provide compliance reports to the compact commission.



- g. Establish additional committees as necessary.
- h. Exercise the powers and duties of the compact
 commission during the interim between compact commission
 meetings, except for adopting or amending rules, adopting or
 amending bylaws, and exercising any other powers and duties
 expressly reserved to the compact commission by rule or bylaw.
- 539 i. Other duties as provided in the rules or bylaws of the compact commission.
- 541 (2) The executive committee shall be composed of nine 542 members:
- 543 a. The chair and vice chair of the compact commission 544 shall be voting members of the executive committee.
- 545 b. Five voting members from the current membership of 546 the compact commission, elected by the compact commission.
- 547 c. One ex officio, nonvoting member from a recognized 548 professional association representing dietitians.
- d. One ex officio, nonvoting member from a recognized national credentialing organization for dietitians.
- 551 (3) The compact commission may remove any member of the 552 executive committee as provided in the compact commission's 553 bylaws.
- 554 (4) The executive committee shall meet at least 555 annually.
- a. Executive committee meetings shall be open to the public, except that the executive committee may meet in a closed, nonpublic meeting as provided in subdivision (f)(2).
- 559 b. The executive committee shall give 30-days' notice 560 of its meetings, posted on the website of the compact



- commission and as determined to provide notice to persons with an interest in the business of the compact commission.
- 563 c. The executive committee may hold a special meeting 564 in accordance with paragraph (f)(1)b.
- 565 (e) The compact commission shall adopt and provide to 566 the member states an annual report.
- (f) Meetings of the Compact Commission.
- 568 (1) All meetings shall be open to the public, except
 569 that the compact commission may meet in a closed, non-public
 570 meeting as provided in subdivision (2).
- a. Public notice for all meetings of the full compact commission shall be given in the same manner as required under the rulemaking provisions in Section 34-34B-10, except that the compact commission may hold a special meeting as provided in paragraph (f)(1)b.
- 576 b. The compact commission may hold a special meeting
 577 when it must meet to conduct emergency business by giving 24578 hours' notice to all member states, on the compact
 579 commission's website, and other means as provided in the
 580 compact commission's rules. The compact commission's legal
 581 counsel shall certify that the compact commission's need to
 582 meet qualifies as an emergency.
- other committees of the compact commission may convene in a closed, nonpublic meeting for the compact commission, executive committee, or other committees of the compact commission, commission to receive legal advice or to discuss the following:



- a. Noncompliance of a member state with its obligations under the compact.
- b. The employment, compensation, discipline, or other
- 592 matters, practices, or procedures related to specific
- 593 employees.
- 594 c. Current or threatened discipline of a licensee by
- 595 the compact commission or by a member state's licensing
- 596 authority.
- d. Current, threatened, or reasonably anticipated
- 598 litigation.
- e. Negotiation of contracts for the purchase, lease, or
- sale of goods, services, or real estate.
- f. Accusing any individual of a crime or formally
- 602 censuring any individual.
- 603 g. Trade secrets or commercial or financial information
- that is privileged or confidential.
- 605 h. Information of a personal nature where disclosure
- 606 would constitute a clearly unwarranted invasion of personal
- 607 privacy.
- i. Investigative records compiled for law enforcement
- 609 purposes.
- j. Information related to any investigative reports
- 611 prepared by or on behalf of or for use of the compact
- 612 commission or other committee charged with responsibility of
- investigation or determination of compliance issues pursuant
- 614 to the compact.
- k. Matters specifically exempted from disclosure by
- 616 federal or member state law.



- 1. Other matters as specified in the rules of the compact commission.
- (3) If a meeting, or portion of a meeting, is closed, the presiding officer shall state that the meeting will be closed and reference each relevant exempting provision, and such reference shall be recorded in the minutes.
- 623 (4) The compact commission shall keep minutes that 624 fully and clearly describe all matters discussed in a meeting 625 and shall provide a full and accurate summary of actions taken, and the reasons therefore, including a description of 626 627 the views expressed. All documents considered in connection 628 with an action shall be identified in such minutes. All 629 minutes and documents of a closed meeting shall remain under 630 seal, subject to release only by a majority vote of the 631 compact commission or order of a court of competent jurisdiction. 632
 - (g) Financing of the compact commission.

- (1) The compact commission shall pay, or provide for the payment of, the reasonable expenses of its establishment, organization, and ongoing activities.
- 637 (2) The compact commission may accept any and all 638 appropriate revenue sources as provided in subdivision 639 (c)(13).
- (3) The compact commission may levy on and collect an annual assessment from each member state and impose fees on licensees of member states to whom it grants a compact privilege to cover the cost of the operations and activities of the compact commission and its staff, which must, in a



total amount, be sufficient to cover its annual budget as
approved each year for which revenue is not provided by other
sources. The aggregate annual assessment amount for member
states shall be allocated based upon a formula that the
compact commission shall adopt by rule.

650

651

652

653

654

- (4) The compact commission shall not incur obligations of any kind prior to securing the funds adequate to meet those obligations; nor shall the compact commission pledge the credit of any of the member states, except by and with the authority of the member state.
- 655 (5) The compact commission shall keep accurate accounts of all receipts and disbursements. The receipts and 656 657 disbursements of the compact commission shall be subject to 658 the financial review and accounting procedures established 659 under its bylaws. However, all receipts and disbursements of 660 funds handled by the compact commission shall be subject to an 661 annual financial review by a certified or licensed public 662 accountant, and the report of the financial review shall be included in and become part of the annual report of the 663 664 compact commission.
 - (h) Qualified immunity, defense, and indemnification.
- (1) The members, officers, executive director,
 employees, and representatives of the compact commission shall
 be immune from suit and liability, both personally and in
 their official capacities, for any claim for damage to or loss
 of property or personal injury or other civil liability caused
 by or arising out of any actual or alleged act, error, or
 omission that occurred, or that the individual against whom

OF ALAU

SB207 INTRODUCED

the claim is made had a reasonable basis for believing occurred within the scope of compact commission employment, duties, or responsibilities; provided, that nothing in this subdivision shall be construed to protect any individual from suit or liability for any damage, loss, injury, or liability caused by the intentional, willful, or wanton misconduct of that individual. The procurement of insurance of any type by the compact commission shall not in any way compromise or limit the immunity granted under this subsection.

- (2) The compact commission shall defend any member, officer, executive director, employee, and representative of the compact commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of compact commission employment, duties, or responsibilities, or as determined by the compact commission that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of compact commission employment, duties, or responsibilities; provided, that nothing in this subdivision shall be construed to prohibit that individual from retaining his or her own counsel at his or her own expense; and provided further, that the actual or alleged act, error, or omission did not result from that individual's intentional, willful, or wanton misconduct.
- (3) The compact commission shall indemnify and hold harmless any member, officer, executive director, employee, and representative of the compact commission for the amount of any settlement or judgment obtained against that individual



- 701 arising out of any actual or alleged act, error, or omission
- 702 that occurred within the scope of compact commission
- 703 employment, duties, or responsibilities, or that such
- 704 individual had a reasonable basis for believing occurred
- 705 within the scope of compact commission employment, duties, or
- 706 responsibilities; provided, that the actual or alleged act,
- 707 error, or omission did not result from the intentional,
- 708 willful, or wanton misconduct of that individual.
- 709 (4) Nothing in this subsection shall be construed as a
- 710 limitation on the liability of any licensee for professional
- 711 malpractice or misconduct, which shall be governed solely by
- 712 any other applicable state laws.
- 713 (5) Nothing in this compact shall be interpreted to
- 714 waive or otherwise abrogate a member state's state action
- 715 immunity or state action affirmative defense with respect to
- 716 antitrust claims under the Sherman Act, Clayton Act, or any
- 717 other state or federal antitrust or anticompetitive law or
- 718 rule.
- 719 (6) Nothing in this compact shall be construed to be a
- 720 waiver of sovereign immunity by the member states or by the
- 721 compact commission.
- 722 §34-34B-9. Data System.
- 723 (a) The compact commission shall provide for the
- 724 development, maintenance, operation, and utilization of a
- 725 coordinated data system.
- 726 (b) The compact commission shall assign each applicant
- 727 for a compact privilege a unique identifier, as determined by
- 728 the rules.



- 729 (c) Notwithstanding any other provision of state law to
 730 the contrary, a member state shall submit a uniform data set
 731 to the data system on all individuals to whom this compact is
 732 applicable as required by the rules of the compact commission,
 733 including all of the following:
 - (1) Identifying information.
- 735 (2) Licensure data.

734

746

747

748

749

750

751

752

753

754

755

- 736 (3) Adverse actions against a license or compact
 737 privilege and information related thereto.
- 738 (4) Nonconfidential information related to alternative 739 program participation, the beginning and ending dates of 740 participation, and other information related to participation 741 not made confidential under member state law.
- 742 (5) Any denial of application for licensure, and the reason or reasons for the denial.
- 744 (6) The presence of current significant investigative information.
 - (7) Other information that may facilitate the administration of this compact or the protection of the public, as determined by the rules of the compact commission.
 - (d) The records and information provided to a member state pursuant to this compact or through the data system, when certified by the compact commission or its agent, shall constitute the authenticated business records of the compact commission, and shall be entitled to any associated hearsay exception in any relevant judicial, quasi-judicial, or administrative proceedings in a member state.
 - (e) Current significant investigative information



- 757 pertaining to a licensee in any member state will only be 758 available to other member states.
- 759 (f) It is the responsibility of the member states to
 760 report any adverse action against a licensee and to monitor
 761 the data system to determine whether any adverse action has
 762 been taken against a licensee. Adverse action information
 763 pertaining to a licensee in any member state will be available
 764 to any other member state.
 - (g) Member states contributing information to the data system may designate information that may not be shared with the public without the express permission of the contributing state.
- 769 (h) Any information submitted to the data system that
 770 is subsequently expunged pursuant to federal law or the laws
 771 of the member state contributing the information shall be
 772 removed from the data system.
- 773 §34-34B-10. Rulemaking.

765

766

767

- 774 (a) The compact commission shall adopt reasonable rules 775 in order to effectively and efficiently implement and 776 administer the purposes and provisions of this compact. A rule 777 shall be invalid and have no force or effect only if a court 778 of competent jurisdiction holds that the rule is invalid 779 because the compact commission exercised its rulemaking 780 authority in a manner that is beyond the scope and purposes of 781 the compact, or the powers granted under the contract, or 782 based upon another applicable standard of review.
- 783 (b) The rules of the compact commission shall have the 784 force of law in each member state; provided, however, that





where the rules conflict with the laws or rules of a member state that relate to the procedures, actions, and processes a licensed dietitian is permitted to undertake in that state and the circumstances under which he or she may do so, as held by a court of competent jurisdiction, the rules of the compact commission shall be ineffective in that state to the extent of the conflict.

- (c) The compact commission shall exercise its rulemaking powers pursuant to the criteria set forth in this section and the rules adopted thereunder. Rules shall become binding on the day following adoption or as of the date specified in the rule or amendment, whichever is later.
- (d) If a majority of the legislatures of the member states rejects a rule or portion of a rule, by enactment of a statute or resolution in the same manner used to adopt the compact within four years of the date of adoption of the rule, then the rule shall have no further force and effect in any member state.
- (e) Rules shall be adopted at a regular or special meeting of the compact commission.
- (f) Prior to adoption of a proposed rule, the compact commission shall hold a public hearing and allow persons to provide oral and written comments, data, facts, opinions, and arguments.
- (g) Prior to adoption of a proposed rule by the compact commission, and at least 30 days in advance of the meeting at which the compact commission will hold a public hearing on the proposed rule, the compact commission shall provide a notice



- 813 of proposed rulemaking:
- 814 (1) On the website of the compact commission or other 815 publicly accessible platform.
- 816 (2) To individuals who have requested notice of the 817 compact commission's notices of proposed rulemaking.
- 818 (3) In such other ways as the compact commission may 819 specify by rule.
- 820 (h) The notice of proposed rulemaking shall include all 821 of the following:
- (1) The time, date, and location of the public hearing at which the compact commission will hear public comments on the proposed rule and, if different, the time, date, and location of the meeting where the compact commission will consider and vote on the proposed rule.
- (2) If the hearing is held via telecommunication, video conference, or other means of communication, the compact commission shall include the mechanism for access to the hearing in the notice of proposed rulemaking.
- 831 (3) The text of the proposed rule and the reason supporting it.
- 833 (4) A request for comments on the proposed rule from any interested person.
- (5) The manner in which interested persons may submit written comments.
- (i) All hearings shall be recorded. A copy of the recording and all written comments and documents received by the compact commission in response to the proposed rule shall be available to the public.



(j) Nothing in this section shall be construed as requiring a separate hearing on each rule. Rules may be grouped for the convenience of the compact commission at hearings required by this section.

- (k) The compact commission, by majority vote of all members, shall take final action on the proposed rule based on the rulemaking record and the full text of the rule.
- (1) The compact commission may adopt changes to the proposed rule provided the changes do not enlarge the original purpose of the proposed rule.
- (2) The compact commission shall provide an explanation of the reasons for substantive changes made to the proposed rule, as well as reasons for substantive changes not made that were recommended by commenters.
- (3) The compact commission shall determine a reasonable effective date for the rule. Except for an emergency as provided in subsection (1), the effective date of the rule shall be no sooner than 30 days after issuing the notice that it adopted or amended the rule.
- (1) Upon determination that an emergency exists, the compact commission may consider and adopt an emergency rule with 24-hours' notice, with opportunity to comment; provided, that the usual rulemaking procedures provided in the compact and in this section shall be retroactively applied to the rule as soon as reasonably possible, in no event later than 90 days after the effective date of the rule. For the purposes of this provision, an emergency rule is one that must be adopted immediately in order to do all of the following:



- 869 (1) Meet an imminent threat to public health, safety, 870 or welfare.
- 871 (2) Prevent a loss of compact commission or member 872 state funds.
- 873 (3) Meet a deadline for the adoption of a rule that is 874 established by federal law or rule.
- 875 (4) Protect public health and safety.
- 876 (m) The compact commission or an authorized committee 877 of the compact commission may direct revision to a previously 878 adopted rule for purposes of correcting typographical errors, 879 errors in format, errors in consistency, or grammatical 880 errors. Public notice of any revision shall be posted on the 881 website of the compact commission. The revision shall be 882 subject to challenge by any person for a period of 30 days 883 after posting. The revision may be challenged only on grounds 884 that the revision results in a material change to a rule. A 885 challenge shall be made in writing and delivered to the 886 compact commission prior to the end of the notice period. If 887 no challenge is made, the revision will take effect without 888 further action. If the revision is challenged, the revision 889 may not take effect without the approval of the compact 890 commission.
- 891 (n) No member state's rulemaking requirements shall apply under this compact.
- \$34-34B-11 Oversight, Dispute Resolution, and Enforcement.
- 895 (a) Oversight.
- 896 (1) The executive and judicial branches of state



government in each member state shall enforce this compact and take all actions necessary and appropriate to implement this compact.

- is proper and judicial proceedings by or against the compact commission shall be brought solely and exclusively in a court of competent jurisdiction where the principal office of the compact commission is located. The compact commission may waive venue and jurisdictional defenses to the extent it adopts or consents to participate in alternative dispute resolution proceedings. Nothing in this section shall affect or limit the selection or propriety of venue in any action against a licensee for professional malpractice, misconduct, or any similar matter.
- (3) The compact commission shall be entitled to receive service of process in any proceeding regarding the enforcement or interpretation of the compact and shall have standing to intervene in such a proceeding for all purposes. Failure to provide the compact commission service of process shall render a judgment or order void as to the compact commission, this compact, or adopted rules.
 - (b) Default, technical assistance, and termination.
- (1) If the compact commission determines that a member state has defaulted in the performance of its obligations or responsibilities under this compact or the adopted rules, the compact commission shall provide written notice to the defaulting state. The notice of default shall describe the default, the proposed means of curing the default, any other



925 action that the compact commission may take, and shall offer 926 training and specific technical assistance regarding the 927 default.

928

938

939

940

941

942

943

944

945

946

947

948

949

950

951

- (2) The compact commission shall provide a copy of the 929 notice of default to the other member states.
- 930 (c) If a state in default fails to cure the default, 931 the defaulting state may be terminated from the compact upon 932 an affirmative vote of a majority of the delegates of the 933 member states, and all rights, privileges, and benefits conferred on that state by this compact may be terminated on 934 935 the effective date of termination. A cure of the default does not relieve the offending state of obligations or liabilities 936 937 incurred during the period of default.
 - (d) Termination of membership in the compact shall be imposed only after all other means of securing compliance have been exhausted. Notice of intent to suspend or terminate shall be given by the compact commission to the governor, the majority and minority leaders of the defaulting state's legislature, the defaulting state's licensing authority, and each of the member states' licensing authority.
 - (e) A state that has been terminated is responsible for all assessments, obligations, and liabilities incurred through the effective date of termination, including obligations that extend beyond the effective date of termination.
 - (f) Upon the termination of a state's membership from this compact, that state shall immediately provide notice to all licensees within that state of such termination. The terminated state shall continue to recognize all compact



privileges granted pursuant to this compact for a minimum of six months after the date of the notice of termination.

- (g) The compact commission shall not bear any costs related to a state that is found to be in default or that has been terminated from the compact, unless agreed upon in writing between the compact commission and the defaulting state.
- (h) The defaulting state may appeal the action of the compact commission by petitioning the United States District Court for the District of Columbia or the federal district where the compact commission has its principal offices. The prevailing party shall be awarded all costs of litigation, including reasonable attorney fees.
 - (i) Dispute resolution.
- 967 (1) Upon request by a member state, the compact
 968 commission shall attempt to resolve disputes related to the
 969 compact that arise among member states and between member and
 970 non-member states.
- 971 (2) The compact commission shall adopt a rule providing 972 for both mediation and binding dispute resolution for disputes 973 as appropriate.
- 974 (j) Enforcement.

955

956

957

958

959

960

961

962

963

964

965

966

975 (1) By super majority vote, the compact commission may
976 initiate legal action against a member state in default in the
977 United States District Court for the District of Columbia or
978 the federal district where the compact commission has its
979 principal offices to enforce compliance with the provisions of
980 the compact and its adopted rules. The relief sought may

- judicial enforcement is necessary, the prevailing party shall
 be awarded all costs of litigation, including reasonable
 attorney fees. The remedies herein shall not be the exclusive
 remedies of the compact commission. The compact commission may
 pursue any other remedies available under federal or the
 defaulting member state's law.
- 988 (2) A member state may initiate legal action against 989 the compact commission in the United States District Court for the District of Columbia or the federal district where the 990 991 compact commission has its principal offices to enforce compliance with the provisions of the compact and its adopted 992 993 rules. The relief sought may include both injunctive relief 994 and damages. In the event judicial enforcement is necessary, 995 the prevailing party shall be awarded all costs of such 996 litigation, including reasonable attorney fees.
- 997 (3) No party other than a member state shall enforce 998 this compact against the compact commission.
- 999 §34-34B-12. Effective Date, Withdrawal, and Amendment.
- 1000 (a) The compact shall come into effect on the date on
 1001 which the compact statute is enacted into law in the seventh
 1002 member state.
- (1) On or after the effective date of the compact, the compact commission shall convene and review the enactment of each of the first seven member states referred to as "charter member states", to determine if the statute enacted by each such charter member state is materially different than the model compact statute.



- a. A charter member state whose enactment is found to
 be materially different from the model compact statute shall
 be entitled to the default process set forth in Section

 34-34B-11.
- b. If any member state is later found to be in default, is terminated, or withdraws from the compact, the compact commission shall remain in existence and the compact shall remain in effect even if the number of member states should be less than seven.
- 1018 (2) Member states enacting the compact subsequent to
 1019 the seven initial charter member states shall be subject to
 1020 the process set forth in Section 34-34B-8(c)(21) to determine
 1021 if their enactments are materially different from the model
 1022 compact statute and whether they qualify for participation in
 1023 the compact.
- (3) All actions taken for the benefit of the compact commission or in furtherance of the purposes of the administration of the compact prior to the effective date of the compact or the compact commission coming into existence shall be considered to be actions of the compact commission unless specifically repudiated by the compact commission.
- (4) Any state that joins the compact subsequent to the compact commission's initial adoption of the rules and bylaws shall be subject to the rules and bylaws as they exist on the date on which the compact becomes law in that state. Any rule that has been previously adopted by the compact commission shall have the full force and effect of law on the day the compact becomes law in that state.



- 1037 (b) Any member state may withdraw from this compact by
 1038 enacting a statute to repeal it.
- 1039 (1) A member state's withdrawal shall not take effect 1040 until 180 days after enactment of the repealing statute.
- 1041 (2) Withdrawal shall not affect the continuing
 1042 requirement of the withdrawing state's licensing authority to
 1043 comply with the investigative and adverse action reporting
 1044 requirements of this compact prior to the effective date of
 1045 withdrawal.
- (3) Upon the enactment of a state withdrawing from this compact, a state shall immediately provide notice of the withdrawal to all licensees within that state. Notwithstanding any subsequent statutory enactment to the contrary, the withdrawing state shall continue to recognize all compact privileges granted pursuant to this compact for a minimum of 180 days after the date of such notice of withdrawal.
- 1053 (c) Nothing contained in this compact shall be
 1054 construed to invalidate or prevent any licensure agreement or
 1055 other cooperative arrangement between a member state and a
 1056 non-member state that does not conflict with the provisions of
 1057 this compact.
- 1058 (d) This compact may be amended by the member states.

 1059 No amendment to this compact shall become effective and

 1060 binding upon any member state until it is enacted into the

 1061 laws of all member states.
- 1062 §34-34B-13. Construction and Severability.
- 1063 (a) This compact and the compact commission's

 1064 rulemaking authority shall be liberally construed so as to





effectuate the purposes, implementation, and administration of the compact. Provisions of the compact expressly authorizing or requiring the adoption of rules shall not be construed to limit the compact commission's rulemaking authority solely for those purposes.

1070

1071

1072

1073

1074

1075

1076

1077

1078

1079

- (b) The provisions of this compact shall be severable and if any phrase, clause, sentence, or provision of this compact is held by a court of competent jurisdiction to be contrary to the constitution of any member state, a state seeking participation in the compact, or of the United States, or the applicability to any government, agency, person, or circumstance is held to be unconstitutional by a court of competent jurisdiction, the validity of the remainder of this compact and the applicability to any other government, agency, person, or circumstance shall not be affected.
- (c) Notwithstanding subsection (b), the compact 1080 1081 commission may deny a state's participation in the compact or, 1082 in accordance with the requirements of Section 34-34B-11(b), 1083 terminate a member state's participation in the compact, if it 1084 determines that a constitutional requirement of a member state 1085 is a material departure from the compact. Otherwise, if this 1086 compact shall be held to be contrary to the constitution of 1087 any member state, the compact shall remain in full force and 1088 effect as to the remaining member states and in full force and 1089 effect as to the member state affected as to all severable 1090 matters.

1091 \$34-34B-14. Consistent Effect and Conflict With Other 1092 State Laws.



- 1093 (a) Nothing in this compact shall prevent or inhibit
 1094 the enforcement of any other law of a member state that is not
 1095 inconsistent with the compact.
- 1096 (b) Any laws, statutes, rules, or other legal
 1097 requirements in a member state in conflict with the compact
 1098 are superseded to the extent of the conflict.
- 1099 (c) All permissible agreements between the compact
 1100 commission and the member states are binding in accordance
 1101 with their terms.
- 1102 §34-34B-15. Judicial Proceedings by Individuals.
- Except as to judicial proceedings for the enforcement of this compact among member states, individuals may pursue judicial proceedings related to this compact in any Alabama state or federal court that would otherwise have competent jurisdiction.
- 1108 Section 2. This act shall become effective on October 1109 1, 2024.